Tri-n-butylphosphine-Catalyzed Addition and Ring-Cleavage Reactions of Cyclobutenones

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Cyclobutenones undergo ring-opening reactions upon heating or upon treatment with anionic species. The thermal reactions involve electrocyclic cleavage¹ to vinyl ketenes (eq 1, Scheme I), while the anionic reactions appear to occur by 1,2- or 1,4-addition followed by a similar rearrangement.² We have observed that tributylphosphine, a weakly basic nucleophilic catalyst,³ can also induce ring-opening reactions of cyclobutenones in alcoholic solution (eq 2, Scheme I). In some cases these reactions proceed at room temperature. Thus, 3-phenylcyclobutenone⁴ (2) and tributylphosphine (4 mol %) in methanol or ethanol (ca. 1 M) gave the β , γ -unsaturated esters, $4a^5$ and 4b⁶ respectively, contaminated by ca. 1% methyl (E,Z)-3-phenyl-2-butenoates 5a or ethyl (E,Z)-3-phenyl-2butenoates 5b,7 respectively. Methanolic solutions of 2 alone or with added triethylamine were unreactive at room temperature. In contrast, the analogous ring opening of 3⁸ was slower than that of 2. When a methanolic solution of 3 was treated with tributylphosphine (23 °C, 2 h), methoxy adduct 8a⁹ was the only product (eq 3, Scheme I). Similar conditions in ethanol gave 8b. At increased concentrations of the phosphine and with longer reaction times, the ring-opened esters 6a and 6b were also observed along with small amounts of 7¹⁰ (¹H NMR assay).

The presence of the phosphine also effected facile proton exchange. From a mixture of 2, PBu₃, and CH₃OD, 4a was isolated with 85% deuterium incorporation at both the α -carbon center and the terminal vinyl center. Furthermore, deuteriated 2 was recovered from a similar experiment (0 °C, 2 h) with ca. 80% deuterium incorporation at both the olefinic and the methylene carbons in the four-membered ring. Catalytic sodium methoxide in methanol at 60 °C converted 4a to a 96:4 mixture of 5a/4a;

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thus, phosphine catalysis led to the formation of the lessstable ester. In similar experiments with 3, cyclobutanone 8a was extensively deuteriated at the α centers when CH_3OD was used as the solvent (80 and 65% deuterium incorporation for the two chemically distinct protons in 8a).

4-(Dimethylamino)pyridine (DMAP) also catalyzed the conversions described above, but less efficiently than did the phosphine. Heating at 45-50 °C in the presence of DMAP (1 M, 1 equiv) was required for the ring cleavage of 2 and 3. In the presence of DMAP, 44% ring cleavage occurred after 24 h to give a 2:1 mixture of 4a/5a whereas, in the absence of DMAP, 17% of the mass was converted to the ring-opened esters 4a and 5a at this temperature. Electrocyclic ring cleavage of 2 and 3 to the corresponding vinyl ketenes is known to occur at ca. 70 °C.¹¹ Thus, the uncatalyzed methanolysis may involve the ketene mechanism (eq 1). Michael addition of methanol to 3 was also catalyzed by DMAP, but the reaction was ca. 3×10^2 slower than in the PBu₃-catalyzed reaction under similar conditions. This result indicated that the mode of catalysis was more likely to be nucleophilic¹² instead of basic.

Tributylphosphine could function herein as a nucleophilic catalyst in at least two ways. Michael addition of tributylphosphine to electron-poor olefins has precedent in the literature.¹³ Thus, the simplest rationale for the phosphine-catalyzed deuteriation of 2 is via Michael addition of the phosphine to give enolate 10 which could be converted to symmetrical cyclobutanone 11 upon protonation. S_N1 displacement of phosphorus from the 3 position by the alcohol is a possible route to 8, while

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reversible 1,2-addition of the proximal alkoxide to the carbonyl carbon of the four-membered ring ketone 11 opens a Grob fragmentation¹⁴ pathway to 4 and 6 via intermediate 12b. The kinetics of these synchronous fragmentations depend on the lability of both of the bonds to be broken.¹⁵ Hence, the reluctance of 3 to cleave under conditions that caused the cleavage of 2 supports such a fragmentation mechanism since benzylic stabilization should make 12b more labile when the substituent is phenyl. Another way PBu₃ could function as a nucleophilic catalyst is via 1,2 addition of the phosphine to ketones 8 and 9 followed by a similar Grob fragmentation to the one proposed above with the subsequent loss of alkoxide from the 3 position of 12a to give acylphosphonium intermediate 13. Structure 13 could have been a source of vinvl ketene 1 upon loss of the α -proton.¹⁶ However, this pathway is unlikely according to the following evidence. In a competition study of ethyl ethynyl ether and ethanol for vinyl ketene 1 under conditions of thermal cleavage of cyclobutenone 2 (85 °C), ethanol trapped the ketene intermediate 1 ca. $2 \times$ faster $(k_1/k_2 = 0.49 \pm 0.03)$ than did the alkynyl ether¹⁷ as determined by ¹H NMR integration of the downfield signals corresponding to 14 and 5b in Scheme II. However, under conditions of the phosphine-catalyzed ring opening of 2, in the presence of the alkynyl ether the same competition experiment gave 5b, but not 14. Furthermore, the vinvl ketene 1 or the acylphosphonium species 13 should have been susceptible to nucleophilic addition by hindered secondary amines; however, the presence of diisopropylamine or 2,2,6,6-tetramethylpiperidine did not result in the formation of amides under conditions of the phosphine catalyzed ring opening of 2. Thus, the pathway via 12a or 12b with rapid collapse of ion pair 13 are most likely for the phosphine-induced ring cleavage reaction of cyclobutenones.

Experimental Section

Methyl 3-Phenyl-3-butenoate (4a): Cyclobutenone 2 (68 mg, 0.47 mmol) dissolved in MeOH (500 µL) was treated with PBu_3 (5.4 mg, 5 mol%) via syringe under N₂. The reaction was stirred at 23 °C for 24 h. The solvent was removed and the residue purified via preparative layer silica gel chromatography (PF254, 10 × 10 cm, 1:10 EtOAc/hexane eluent) to give 4a (71 mg, 85%). The spectral data matched that of the title compound.

3-Pentylcyclobutenone (3). Cyclobutenone 3 was obtained in 60% distilled yield from 1-heptyne by the method of Danheiser.¹ Analytical TLC on silica gel: 1:9 EtOAc/hexane, R_f = 0.30. Distillation of the product gave a clear, colorless liquid: bp 47-49 °C, 0.08 mm, short path; IR (CCl₄, cm⁻¹) 1771, (C=O), 2958 (C-H; 1586 (C-C); 200-MHz NMR (CDCl₃, ppm) δ 5.90 (1 H, s), 3.15 (2 H, s), 2.56 (2 H, t, J = 7.7 Hz), 1.7-1.6 (2 H, m),1.4-1.22 (6 H, m), 0.91 (3 H, m).

3-Methoxy-3-pentylcyclobutanone (8a).¹⁸ Cyclobutenone 3 (98 mg, 0.71 mmol) dissolved in MeOH (500 μ L) was treated with PBu₃ (10 mg) via syringe. The reaction was stirred at 23 °C for 2 h. The entire reaction mixture was diluted with hexane/ ether 1:1 (500 μ L). The organic phase was washed 3× with regular Clorox bleach (250 μ L) and saturated NaCl solution. Using silica gel column chromatography (1:20 EtOAc/hexane), 8a (98.2 mg, 82%) was isolated. Analytical TLC on silica gel; 1:9 EtOAc/ hexane, $R_f = 0.32$. No parent ion for $C_{10}H_{18}O_2$: M - pentyl = 99.0449, error = 3 ppm; base peak = 128 amu; IR (CCL, cm⁻¹) 2932 (C-H); 1790 (C=O); 270-MHz NMR (CDCl₃, ppm) δ 3.24 $(3 \text{ H}, \text{s}), 3.10, 2.85 (4 \text{ H}, \text{AA'BB'}, J_{ab} = 17.8, J_{aa'} = 5.7, J_{bb'} = 5.0,$ $J_{ab'} = 1.4$ Hz), 1.9–1.6 (2 H, m), 1.3–1.1 (6 H, m), 0.91 (3 H, m); ¹³C NMR (125-MHz, CDCl₃, ppm) δ 205.5, 72.0, 56.0, 51.3, 34.4, 31.8, 23.5, 22.5, 13.9.

3-Ethoxy-3-pentylcyclobutanone (8b).18 Cyclobutenone 3 (43 mg, 0.31 mmol) dissolved in EtOH (200 μ L) was treated with 8 mg of PBu₃. The reaction stirred at 23 °C for 26 h. Workup and purification were performed as with 8a to obtain 8b (40.1 mg, 70%). Open chain esters 7b (9:1 trans/cis 20%) were also isolated from the column. Analytical TLC on silica gel: 1:9 EtOAc/hexane, $R_f = 0.33$. Molecular ion calcd for $C_{11}H_{20}O_2$: 184.14630, found m/e = 184.1463, error = 0 ppm; M - pentyl = 113.0600, error = 2 ppm; IR (CCl₄, cm⁻¹) 1791 (C=O), 2930 (C-H), 1076 (C-O); 270-MHz NMR (CDCl₃, ppm) δ 3.37 (2 H, q, J = 7.0 Hz), 3.10, 2.86 (4 H, AA'BB', $J_{ab} = 18.0$, $J_{aa'} = 6.1$, $J_{bb'}$ = 5.9, $J_{ab'}$ = 1.8 Hz), 1.8–1.7 (2 H, m), 1.4–1.2 (6 H, m), 1.21 (3 H, t, J = 7.0 Hz), 0.89 (3 H, m); ¹³C NMR (125-MHz, CDCl₃, ppm) & 206.1, 71.5, 59.2, 56.5, 35.2, 31.8, 23.7, 22.6, 15.6, 14.0.

Methyl 3-Methyleneoctanoate (6a). Cyclobutenone 3 (48 mg, 0.35 mmol) dissolved in MeOH (1 mL) in a one-piece flask/ condenser microapparatus was treated with 12 mg of PBu₃. The reaction stirred under N2 at 50 °C for 24 h. The crude reaction mixture contained esters 6a and 7a (trans and cis) in a ratio 79:16:6. Workup was performed as with 8a. After removal of solvent, the residue was purified by preparative layer chromatography over silica gel (PF254, 10 × 10 cm, 1:20 EtOAc/hexane) and the ester 6a was isolated (38 mg, 65%). Analytical TLC on silica gel: 3:25 EtOAc/hexane, $R_f = 0.51$. Analytical GLPC (Alltech RSL-ISO capillary column, 30 m × 0.25 mm): flow 0.9 mL/min helium. Molecular ion calcd for C₁₀H₁₈O₂: 170.13064, found m/e = 170.1304, error = 1 ppm; M - 15 = 155.1072, error = 10 ppm; IR (CCl₄, cm⁻¹) 1743.5 (C=O), 3080.1 (=C-H), 2931.6 (C-H); 200-MHz NMR (CDCl₃, ppm) δ 4.92 (1 H, s), 4.89 (1 H, s), 3.69 (3 H, s), 3.05 (2 H, s), 2.09 (2 H, t, J = 7.0 Hz), 1.4–1.3 (6 H, m), 0.89 (3 H, m).

3-Ethoxy-5-phenylphenol (14). Synthesized by the method of Danheiser.¹¹ Thus 3-phenylcyclobutenone 2 (45.7 mg, 0.32 mmol) was taken up with 100 mg of freshly distilled ethyl ethynyl ether (Farchan. 50 wt% hexane), diluted with 500 μ L of C₆H₆ and sealed in a glass tube. The tube was heated to 85 °C for 19 h. After removal of solvent (aspirator), the residue was purified by preparative layer silica gel $(30 \times 3 \text{ cm})$, 1:9 EtOAc/hexane eluent. Analytical TLC on silica gel: 3:7 EtOAc/hexane, $R_f =$ 0.46. Distillation of the product at 85-90 °C, 0.01 mm, in a sublimation chamber and condensed on a cold finger at 0 °C gave a clear liquid (40%). Molecular ion calcd for $C_{14}H_{14}O_2$: 214.09933, found m/e = 214.0994, error = 0 ppm; IR (CCl₄, cm⁻¹) 3609 (O--H), 2982 (C-H), 1577 (=C-H); 200-MHz NMR (CDCl₈, ppm) δ 7.57-7.54 (2 H, m), 7.45-7.26 (3 H, m), 6.71 (1 H, dd, J = 2.2, 1.5Hz), 6.65 (1 H, dd, J = 2.2, 1.5 Hz), 6.40 (1 H, t, J = 2.2 Hz), 4.9 (1 H, bs), 4.06 (2 H, q, J = 7.0 Hz), 1.43 (3 H, t, J = 7.0 Hz).

Competition/Trapping Study of 1-(1-Phenylethenyl)ketene. A solution of 137 μ mol of ethanol, 32 μ mol of 2, 36 μ mol of ethyl ethynyl ether, and 250 μ L of C₆D₆ was placed in an NMR tube (medium walled, precision, Wilmad) and sealed under partial vacuum. The contents of the tube were heated at

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 $85\ ^{o}C$ for 14 h. NMR analysis showed a 13:87 ratio of products 14 and 5b.

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Supplementary Material Available: Copies of selected NMR spectra (7 pages). This material is contained in libraries on microfiche, immediately follows this article in the microfilm version of the journal, and can be ordered from the ACS; see any current masthead page for ordering information.